

SCHEME ADVISORY BOARD SURVEY OF LGPS LOCAL PENSION BOARDS

Section 1 : Compliance & Administration			
Part 1	1	When did the Board first meet? (insert date DD/MM/Year)	31/07/15
	2	How often a year is the Board required to meet?	4
	3	How many meetings have been held to date?	9
	4	What is the number of employer representatives on the Board?	2
	5	What is the number of employee representatives on the Board?	2
	6	Was the Chair of the Board :-	DROP DOWN BOX
			iii) RECRUITED AFTER COMPETITION
	7	Is the Chair of the Board remunerated?	Yes
	7a	If the answer to 7 is "YES", what remuneration is paid?	£599 per day
	8	Are any other members of the Board remunerated?	Yes
	8a	If the answer to 8 is "YES", what is the average amount of remuneration?	£198 per day
	9	Are expenses paid to Board members?	Yes
Part 2			
	11	Is there a written constitution for the Board?	No
	12	Does the Board have a terms of reference?	Yes
	13	Does the Board have a conflict of interest register?	Yes
	14	Do you keep a register of breaches of the law?	Yes
	15	Is there a risk register?	Yes
	16	Is there a programme for Board members to acquire knowledge and understanding?	No
	17	Where "YES" has been given as the answer to Questions 11 to 16, on a scale of 1 (very poor) to 10 (very good) how would you evaluate:-	
	i)	the constitution	N/A
	ii)	the terms of reference	9
	iii)	the conflict of interest register	9
	iv)	the register of breaches	8
	v)	the risk register	8
	vi)	the knowledge and understanding programme	5
	18	Is personal liability or indemnity insurance available to Board members?	Yes
	19	Is the Board compliant with guidance issued by the Scheme Advisory Board?	Yes
	20	If the answer to Question 19 is "No", give reasons below :-	

	21	Give up to three examples where you think the Board is working well :-
		1. All Board members work together well and prioritise this role, such that no meetings have been unquorate. 2. The Board has initiated a number of operational reviews which have led to both assurances to the Pensions & Investments Committee and improvements to content of various Statements of the Pensions Fund. 3. Recommendations from the Board regarding both improvements to the Risk Register and compliance regarding Code of Practice 14 have been accepted and adopted by the Administering Authority.
	22	Give up to three examples where you think the Board could improve what it does :-
		1. Focus more of a planned training programme, which at present is relatively ad hoc (A HIGH PRIORITY!). 2. Develop and introduce KPI's to assess the efficiency and effectiveness of the Board.